

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Prashant Anil Tatake et al.	)	
		)	Group Art Unit:
Serial No.:	Not yet assigned	)	
		)	Examiner:
Filing Date:	Not yet assigned	)	
		)	
For:	PROCESS FOR PRODUCING	)	
	PHENOL	)	
		)	

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

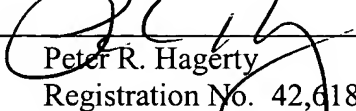
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By:   
Peter R. Hagerty  
Registration No. 42,618

Date: March 31, 2004  
Customer No. 23413  
(860) 286-2929

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**135070-1**

In Re Application Of: **Prashant Anil Tatake**

Serial No.

Filing Date

Examiner

Group Art Unit

Title:

**PROCESS FOR PRODUCING PHENOL**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
135070-1

In Re Application: **Prashant Anil Tatake**

Serial No.

Filing Date

Examiner

Group Art Unit

**PROCESS FOR PRODUCING PHENOL**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **07-0862**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

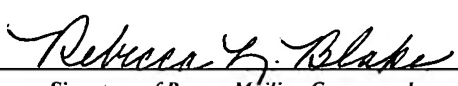
\_\_\_\_\_  
(Date)

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Signature

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **3/31/2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

Rebecca L. Blake

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Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Dated: **March 31, 2004**

  
Peter R. Hagerty

Registration No. **42,618**

Customer No. **23413**

Telephone No. **860-286-2929**

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>135070-1</b>		SERIAL NO.	
				Prashant Anil Tatake et al.			
				FILING		GROUP	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 2002/0068840 A1	6/6/2002	Weber et al.			
		US 6,489,159 B1	12/3/2002	Chenchik et al.			
		3,029,294	4/10/1962	John Alfred Keeble			
		4,209,465	6/24/1980	Austin et al.			
		3,335,070	8/8/1967	Donald A. Adams			
		3,454,653	7/8/1969	John Eric Larson			
		3,692,845	9/19/1972	Cheema et al.			
		5,414,154	5/9/1995	Jenczewski et al.			
		5,502,259	3/26/1996	Zakoshansky et al.			
		6,066,767	5/23/2000	Zakoshansky et al.			
		US 6,388,144 B1	5/14/2002	Wijesekera et al.			

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		GB 1381398	10Mar1972	Great Britain				✓
		GB 920,864	12Jul1961	Great Britain				✓
		GB 865,677	5May1959	Great Britain				✓
		GB 629,429	21Aug1947	Great Britain				✓
		GB 864,486	23May1958	Great Britain				✓

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	US 2002/0040165 A1	4/4/2002	Hertzog et al.			
	US 2002/0058845 A1	5/16/2002	Levin et al.			
	US 2003/0220528 A1	11/27/2003	Weber et al.			
	US 6,630,608 B2	10/7/2003	Tanger et al.			
	2,626,281	1/20/1953	George G. Joris			
	2,628,983	2/17/1953	Aller et al.			
	2,663,735	12/22/1953	Filar et al.			
	2,715,145	8/9/1955	Bewley et al.			
	2,757,209	7/31/1956	George G. Joris			
	2,904,592	9/15/1959	Ellis et al.			
	2,986,583	5/30/1961	Robbers et al.			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
GB 1 202 687	12Mar1969	Great Britain				✓
GB 1,108,584	27Jul1965	Great Britain				✓

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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	FILING	GROUP

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	3,271,457	9/6/1966	Bewley et al.			
	4,016,213	4/5/1977	Yeh et al.			
	4,246,203	1/20/1981	Wirth			
	4,310,712	1/12/1982	Langley			
	4,358,618	11/9/1982	Sifniades et al.			
	5,245,090	9/14/1993	DeCaria et al.			
	5,254,751	10/19/1993	Zakoshansky			
	5,371,305	12/6/1994	Hood			
	5,430,200	7/4/1995	Hood			
	5,463,136	10/31/1995	Blackbourn et al.			
	5,530,166	6/25/1996	Zakoshansky et al.			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

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	5,998,677	12/7/1999	Yasaka et al.			
	6,057,483	5/2/2000	Zakoshansky et al.			
	US 6,201,157 B1	3/13/2001	Keenan			
	US 6,225,513 B1	5/1/2001	Zakoshansky et al.			
	US 6,303,825 B1	10/16/2001	Gerlich et al.			
	US 6,307,112 B1	10/23/2001	Weber et al.			

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

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